OBDD proofs are NP-hard to automate MFCS 2022, Vienna

Dmitry Itsykson, Artur Riazanov

PDMI RAS

August 23, 2022



Solving SAT

- $\blacksquare S:$ algorithm finding solutions for SAT.
- If ϕ is satisfiable $\mathcal{S}(\phi)$ returns a satisfying assignment.
- If ϕ is unsatisfiable the execution log of $\mathcal{S}(\phi)$ is a **certificate** of



Solving SAT

- \mathcal{S} : algorithm finding solutions for SAT.
- If ϕ is satisfiable $\mathcal{S}(\phi)$ returns a satisfying assignment.
- If ϕ is unsatisfiable the execution log of $\mathcal{S}(\phi)$ is a **certificate** of $\phi \in \text{UNSAT}$.

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat August 23, 2022 2 / 17



Protocols and Proofs Proof overview Conclusion

Going backwards

Resolution [Robinson, 1965]

 ϕ : CNF formula. Resolution proof: a sequence $C_1, \ldots, C_m = \bot$ of clauses such that $C_i \in \phi$ or C_i is obtained by the resolution rule from the previous clauses.

$$\frac{A \lor x \qquad B \lor \neg x}{A \lor B}$$

OBDD proofs are NP-hard to automat August 23, 2022 3 / 17 Artur (PDMT RAS)







Protocols and Proofs Proof overview

Going backwards



Artur OBDD proofs are NP-hard to automat August 23, 2022 3 / 17 (PDMI RAS)





Techniques

Protocols and Proofs Proof overview

Going backwards



Artur (PDMI RAS) OBDD proofs are NP-hard to automat

August 23, 2022 3 / 17







Protocols and Proofs Proof overview

Going backwards



Artur (PDMI RAS)

OBDD proofs are NP-hard to automat

August 23, 2022 3 / 17





Protocols and Proofs Proof overview

Going backwards

Example





Proposition

If there exists a resolution refutation of ϕ with clauses of width at most w, then it can be found in $n^{O(w)}$.

OBDD proofs are NP-hard to automat Artur (PDMI RAS)

August 23, 2022 3 / 17



Definition

A proof system Π for UNSAT is automatable is there exists an algorithm which can produce Π -refutations of a given CNF ϕ in poly time in ϕ and the length of the shortest Π -refutation of ϕ .

 $\mathbf{P} = \mathbf{NP}$.

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 4 / 17



Definition

A proof system Π for UNSAT is automatable is there exists an algorithm which can produce Π -refutations of a given CNF ϕ in poly time in ϕ and the length of the shortest Π -refutation of ϕ .

Theorem [Atserias, Muller, 2019] Suppose Resolution is automatable. Then $\mathbf{P} = \mathbf{NP}$.

■ NS, PC [GNPRSdR21];

■ Res(k) [Gar20];

Cutting Planes [GKMP20].

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat



Definition

A proof system Π for UNSAT is automatable is there exists an algorithm which can produce Π -refutations of a given CNF ϕ in poly time in ϕ and the length of the shortest Π -refutation of ϕ .

Theorem [Atserias, Muller, 2019]

Suppose Resolution is automatable. Then $\mathbf{P} = \mathbf{NP}$.

- NS, PC [GNPRSdR21];
- Res(k) [Gar20];
- Cutting Planes [GKMP20].

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat



Definition

A proof system Π for UNSAT is automatable is there exists an algorithm which can produce Π -refutations of a given CNF ϕ in poly time in ϕ and the length of the shortest Π -refutation of ϕ .

Theorem [Atserias, Muller, 2019]

Suppose Resolution is automatable. Then $\mathbf{P} = \mathbf{NP}$.

- NS, PC [GNPRSdR21];
- Res(k) [Gar20];

Cutting Planes [GKMP20].

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat



Definition

A proof system Π for UNSAT is automatable is there exists an algorithm which can produce Π -refutations of a given CNF ϕ in poly time in ϕ and the length of the shortest Π -refutation of ϕ .

Theorem [Atserias, Muller, 2019] Suppose Resolution is automatable. Then $\mathbf{P} = \mathbf{NP}$.

- NS, PC [GNPRSdR21];
- Res(k) [Gar20];
- Cutting Planes [GKMP20].

Artur (PDMI RAS)



Protocols and Proofs Proof overview Conclusion

OBDD

- $\blacksquare \pi$ -OBDD represents a Boolean function f;
- \blacksquare If D_1 and D_2 are OBDDs in the same order, can construct $D_1 \circ D_2$ for any Boolean ∘ in time $\mathcal{O}(|D_1||D_2|);$
- If D_1 and D_2 are OBDDs in the same order, can check if $D_1 \equiv D_2$ in time $\mathcal{O}(|D_1||D_2|)$.



Artur (PDMT RAS)



OBDD proof system

OBDD refutations

OBDD refutation of an unsat CNF F is a sequence of OBDDs with the same order of variables terminating with an OBDD computing identical False, such that each of the OBDDs either:

- \blacksquare encodes a clause of F;
- semantically follows from two preceding OBDDs in the sequence.

unless $\mathbf{P} = \mathbf{NP}$.

OBDD proofs are NP-hard to automat August 23, 2022 6 / 17 Artur (PDMT RAS)



OBDD proof system

OBDD refutations

OBDD refutation of an unsat CNF F is a sequence of OBDDs with the same order of variables terminating with an OBDD computing identical False, such that each of the OBDDs either:

- \blacksquare encodes a clause of F;
- **semantically follows** from two preceding OBDDs in the sequence.

Theorem OBDD proof system is not automatable unless $\mathbf{P} = \mathbf{NP}$.

OBDD proofs are NP-hard to automat August 23, 2022 6 / 17 Artur (PDMT RAS)

Atserias and Muller's proof

Resolution is NP-hard to automate:

- $\blacksquare \mathcal{A}$ poly-automates Resolution.
- Let's solve 3-SAT.
- \blacksquare Construct an algorithm \mathcal{T} , that given a
- And given an unsatisfiable CNF
- Now to solve 3-SAT simply run $\mathcal{A}(\mathcal{T}(\phi))$

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 7 / 17

Atserias and Muller's proof

Resolution is NP-hard to automate:

- $\blacksquare \mathcal{A}$ poly-automates Resolution.
- Let's solve 3-SAT.
- \blacksquare Construct an algorithm \mathcal{T}_{I} that given a satisfiable CNF constructs unsatisfiable CNF that is easy to refute;
- And given an unsatisfiable CNF constructs unsatisfiable CNF that is hard to refute;
- Now to solve 3-SAT simply run $\mathcal{A}(\mathcal{T}(\phi))$

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 7 / 17

Atserias and Muller's proof

Resolution is NP-hard to automate:

- $\blacksquare \mathcal{A}$ poly-automates Resolution.
- Let's solve 3-SAT.
- \blacksquare Construct an algorithm \mathcal{T}_{I} that given a satisfiable CNF constructs unsatisfiable CNF that is easy to refute;
- And given an unsatisfiable CNF constructs unsatisfiable CNF that is hard to refute;
- Now to solve 3-SAT simply run $\mathcal{A}(\mathcal{T}(\phi))$ for $n^{O(1)}$ steps and accept iff it terminates.

Magic transformation

 $\mathcal L$ is a CNF-to-CNF mapping such that $\mathcal L(\phi)$ is hard for a proof system Π iff ϕ is hard for resolution.

$$\varphi \overset{\varsigma^{\varphi^{\uparrow}}}{\overset{\varsigma_{\varphi^{\varphi^{\uparrow}}}}{\overset{\circ}}{\overset{\circ}}} \operatorname{Res}(\mathcal{T}(\phi)) = 2^{n^{\Omega(1)}}$$

Magic transformation

 $\mathcal L$ is a CNF-to-CNF mapping such that $\mathcal L(\phi)$ is hard for a proof system Π iff ϕ is hard for resolution.

$$\varphi \leftarrow_{\mathcal{O}_{\mathcal{N}_{\mathcal{O}_{\mathcal{A}_{\mathcal{O}}}}}^{\mathcal{O}^{(1)}}} \\ Res(\mathcal{T}(\phi)) = 2^{n^{\Omega(1)}}$$

8 / 17

Adapting for Cutting Planes

Magic transformation

 \mathcal{L} is a CNF-to-CNF mapping such that $\mathcal{L}(\phi)$ is hard for a proof system Π iff ϕ is hard for resolution.

Theorem [GGKS18]

If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires cutting planes size $n^{\Omega(w)}$.

$$\psi(\mathcal{T}(\phi)) = n^{\Omega(1)} \xrightarrow{\circ g} \operatorname{CP}(\mathcal{T}(\phi) \circ g) = 2^{n^{\Omega(1)}}$$

$$\phi \xleftarrow{c_{\mathcal{T}_{\phi}}} w(\mathcal{T}(\phi)) = n^{\Omega(1)} \xrightarrow{\circ g} \operatorname{CP}(\mathcal{T}(\phi) \circ g) = 2^{n^{\Omega(1)}}$$

Theorem [GGKS18]

If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires cutting planes size $n^{\Omega(w)}$.

$$\psi(\mathcal{T}(\phi)) = n^{\Omega(1)} \xrightarrow{\circ g} \operatorname{CP}(\mathcal{T}(\phi) \circ g) = 2^{n^{\Omega(1)}}$$

$$\phi \xleftarrow{c_{\mathcal{T}_{\phi}}} w(\mathcal{T}(\phi)) = n^{\Omega(1)} \xrightarrow{\circ g} \operatorname{CP}(\mathcal{T}(\phi) \circ g) = 2^{n^{\Omega(1)}}$$

Theorem [GGKS18]

If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires cutting planes size $n^{\Omega(w)}$.

- Blockwidth: consider a partition of variables, then blockwidth of a clause is the number of blocks in the partition mentioned in it.
- [AM19] reduction transforms sat
- [GKMP20] give a lifting theorem from

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat August 23, 2022 9 / 17

Theorem [GGKS18]

If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires cutting planes size $n^{\Omega(w)}$.

- Blockwidth: consider a partition of variables, then blockwidth of a clause is the number of blocks in the partition mentioned in it.
- [AM19] reduction transforms sat instances into O(1)-blockwidth, unsat
 - into $n^{\Omega(1)}$ -blockwidth.
- [GKMP20] give a lifting theorem from

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat August 23, 2022 9 / 17

Theorem [GGKS18]

If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires cutting planes size $n^{\Omega(w)}$.

- Blockwidth: consider a partition of variables, then blockwidth of a clause is the number of blocks in the partition mentioned in it.
- [AM19] reduction transforms sat instances into O(1)-blockwidth, unsat

into $n^{\Omega(1)}$ -blockwidth.

■ [GKMP20] give a lifting theorem from blockwidth to cutting planes size. Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022

9 / 17

Plan of the proof

Theorem

OBDD proof system is not automatable unless $\boldsymbol{\mathsf{P}}=\boldsymbol{\mathsf{NP}}$.

- Start with [AM19];
- Modify lifting theorem from [GKMP20] for it to work for OBDDs;
- Apply (massaged) Segerlind's [Seg08] transformation to factor off the problem of variable ordering.



OBDD refutations

Techniques

Plan of the proof

- Start with [AM19];
- Modify lifting theorem from [GKMP20] for it to work for OBDDs;
- Apply (massaged) Segerlind's [Seg08]



Plan of the proof

OBDD refutations

Set up

■ Start with [AM19];

Techniques

- Modify lifting theorem from [GKMP20] for it to work for OBDDs;
- Apply (massaged) Segerlind's [Seg08] transformation to factor off the problem of variable ordering.



Protocols and Proofs Proof overview Conclusion



OBDD refutations

Set up



Techniques

Artur (PDMI RAS) OBDD proofs are NP-hard to automat

Protocols and Proofs

August 23, 2022 11 / 17

Proof overview





Protocols and Proofs Proof overview

Proofs as Protocols



Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 11 / 17



OBDD refutations

Set up



Techniques

Protocols and Proofs Proof overview

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat

August 23, 2022 11 / 17



OBDD refutations

Set up

Techniques



Protocols and Proofs

Proof overview

Artur (PDMI RAS)

OBDD proofs are NP-hard to automat

August 23, 2022 1

11 / 17



Set up

OBDD refutations Techniques

Resolution can be converted to a protocol of Boolean subcubes or sets of small query complexity.

Protocols and Proofs Proof overview Conclusion

 OBDD refutation is a protocol of sets of small communication complexity (essentially [Kra06], explicitly [BIKS18]).

Proposition

OBDD refutation is a protocol of sets of small o(n)-party **number in hand** communication complexity.

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 12 / 17



Set up

OBDD refutations Techniques

Resolution can be converted to a protocol of Boolean subcubes or sets of small query complexity.

Protocols and Proofs Proof overview Conclusion

 OBDD refutation is a protocol of sets of small communication complexity (essentially [Kra06], explicitly [BIKS18]).

Proposition

OBDD refutation is a protocol of sets of small o(n)-party number in hand communication complexity.

Lifting

Query complexity

 $f: \{0,1\}^n \rightarrow \{0,1\}$. How many bits one needs to probe to learn the value of f.

Communication complexity

 $f: X \times Y \to Z$. Alice knows $x \in X$, Bob knows $y \in Y$, how many bits they need to exchange to learn f(x, y)?

Theorem [Raz, McKenzie 1997], [GPW15]

If query complexity of f is d, then communication complexity of $f \circ g$ is at least d for some appropriate g.

Dag-like version

Set up

 $n^{\Omega(w)}$

OBDD refutations Techniques

Theorem [GGKS18] If a CNF F over n variables requires a resolution width w, then $F \circ g$ requires a dag-like communication protocol of size

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 14 / 17

Protocols and Proofs Proof overview

Lifting from blockwidth

Theorem [GKMP20]

If a CNF F over n variables requires a **resolution blockwidth** w, then $F \circ g$ requires a (n+1)-party dag-like communication protocol of size $n^{\Omega(w)}$.

```
resolution blockwidth w, then F \circ g
```

OBDD proofs are NP-hard to automat August 23, 2022 15 / 17 Artur (PDMI RAS)

Lifting from blockwidth

Theorem [GKMP20]

If a CNF F over n variables requires a **resolution blockwidth** w, then $F \circ g$ requires a (n+1)-party dag-like communication protocol of size $n^{\Omega(w)}$.

```
Theorem (this work)
If a CNF F over n variables requires a
resolution blockwidth w, then F \circ g
requires a
o(n)-party dag-like communication protocol
of size n^{\Omega(w)}.
```

OBDD proofs are NP-hard to automat August 23, 2022 15 / 17 Artur (PDMI RAS)

Proof highlights

- Coarser input partition then in [GKMP20];
- Reduce to 2-party lifting in the key richness lemma.
- Small changes in Segerlind's transformation to make in work for essentially arbitrary CNFs.

OBDD proofs are NP-hard to automat August 23, 2022 16 / 17 Artur (PDMT RAS)



Set up

OBDD refutations Techniques

 Is OBDD(A) automatable? It does not simulate resolution, so the upper bound fails.

Protocols and Proofs Proof overview Conclusion

Can we randomize number-in-hand multiparty dag-like lifting?

Artur (PDMI RAS) OBDD proofs are NP-hard to automat August 23, 2022 17 / 17